

ATTORNEY DOCKET NO.: 3698.D7

PATENT APPLICATION

IN THE
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): John S. HENDRICKS

Confirmation No.: 2109

Application No.: 09/964,891

Examiner:

Filing Date: September 28, 2001

Group Art Unit: 2611

Title: SET TOP TERMINAL FOR GENERATING AN INTERACTIVE ELECTRONIC PROGRAM
GUIDE FOR USE WITH TELEVISION DELIVERY SYSTEM

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

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INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is Submitted:

- ☒ under 37 CFR 1.97(b), or
(Within three months of filing national application; or date of entry of national application; or before mailing date of first office action on the merits; whichever occurs last)
- ☐ under 37 CFR 1.97(c) together with either a:
☐ Statement under 37 CFR 1.97(e), or
☐ a \$180.00 fee under 37 CFR 1.17(p), or
(after the CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97(d) together with a:
☐ Statement under 37 CFR 1.97(e)(1) or (2), and
☐ a \$180.00 petition fee set forth in 37 CFR 1.17(p).
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

Please charge to Deposit Account **50-2849** the sum of \$ _____. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account **50-2849** pursuant to 37 CFR 1.25.

☒ Applicant(s) submit herewith Form(s) PTO 1449 – References listed were disclosed in Patent Application No. 07/991,074, filed 12/9/1992, which in turn is related to Patent Nos. 5,798,785; 5,600,364; 5,659,350; 5,734,853; 5,990,927; 5,682,195; 5,559,549; 6,557,173; 6,201,536; 5,600,573; 6,408,437; 6,160,989; 6,539,548; 6,515,680; 6,052,554; 6,463,585; 6,181,335, and 6,675,386, and, as such, copies thereof are not included in this Information Disclosure Statement in view of 37 CFR 1.98(d).

☐ A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO form 1449, as presently understood by the individuals(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, and English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

Respectfully submitted,

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Date: May 5, 2004

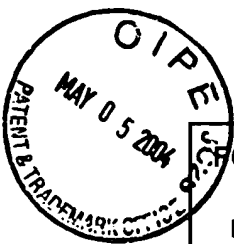


FORM PTO 1449			ATTY. DOCKET NO. 3698.D7	APPLICATION NO. 09/964,891	CONFIRMATION NO. 2109	
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)			APPLICANT John S. HENDRICKS			
			FILING DATE 9/28/2001	GROUP 2611		
REFERENCE DESIGNATION U.S. PATENT DOCUMENTS						
EXAMINER INITIAL		DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear	
	1A	5,357,276	October 18, 1994	Banker et al.		
	1B	4,712,105	December 8, 1987	Kohler		
	1C	5,253,066	October 12, 1993	Vogel		
	1D	5,152,011	September 29, 1992	Schwob		
	1E	4,996,597	February 26, 1991	Duffield		
	1F	5,341,166	August 23, 1994	Garr et al.		
	1G	4,706,121	November 10, 1987	Young		
	1H	4,928,168	May 22, 1990	Iwashita		
	1I	5,144,663	September 1, 1992	Kudelski et al.		
	1J	5,105,268	April 14, 1992	Yamanouchi et al.		
	1K	4,947,429	August 7, 1990	Bestler et al.		
	1L	5,093,718	March 3, 1992	Hoarty et al.		
FOREIGN PATENT DOCUMENTS						
		DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	Pages/Columns/Lines Where Relevant Passages/Figures Appear	Check if Translation attached
	1M	JP 3-114,375	May 15, 1991	Fujita		<input type="checkbox"/>
	1N	DE 3,935,294	April 25, 1991	Mahmud et al.		<input type="checkbox"/>
	1O	EP 0420123	April 3, 1991	Fujita et al.		<input type="checkbox"/>
	1P	JP 62-24,777	February 2, 1987	Kawashima		<input type="checkbox"/>
	1Q	JP 1-130,683	May 23, 1989	Doumura		<input type="checkbox"/>
OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)						
	1R	Reimer, Memories In My Pocket, February 1991.				
	1S	Olshansky et al., Subscriber Distribution Networks Using Compressed Digital Video, November 1992.				
	1T	Dinero et al., Markets and Products Overview, 1991.				
EXAMINER				DATE CONSIDERED		

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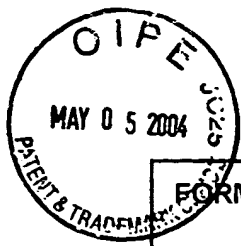


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	1A	5,046,093	September 3, 1991	Wachob		
	1B	5,020,129	Martin et al.	May 28, 1991		
	1C	4,792,972	Cook, Jr.	December 20, 1988		
	1D	5,077,607	Johnson et al.	December 31, 1991		
	1E	4,975,951	Bennett	December 4, 1990		
	1F	4,860,379	Schoeneberger et al.	August 22, 1989		
	1G	4,484,217	Block et al.	November 20, 1984		
	1H	5,091,782	Krause et al.	February 25, 1992		
	1I	5,057,917	Shalkauser et al.	October 15, 1991		
	1J	5,049,990	Kondo et al.	September 17, 1991		
	1K	4,816,901	Music et al.	March 28, 1989		
	1L	4,688,246	August 18, 1987	Eilers et al.		
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	1M	WO 92/17027	October 1, 1992	McMullan		<input type="checkbox"/>
	1N	WO 91/00670	January 10, 1991	Hallenbeck		<input type="checkbox"/>
	1O	EP 0 424 648	May 2, 1991	Wachob		<input type="checkbox"/>
	1P	EP 0 506 435	September 30, 1992	Gammie et al.		<input type="checkbox"/>
	1Q	EP 0 402 809	December 19, 1990	Garza		<input type="checkbox"/>
OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)						
	1R	Advertisement, Hong Kong Enterprise, November 1988				
	1S	Advertisement, Great Presentations, 1987				
	1T	Advertisement, Consumer Dist, Fall/Winter 1992				
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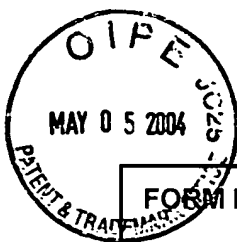
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	1A	4,995,078	February 19, 1991	Monslow et al.		
	1B	5,206,722	April 27, 1993	Kwan		
	1C	5,247,347	September 21, 1993	Litteral et al.		
	1D	5,166,886	November 24, 1992	Molnar et al.		
	1E	5,289,271	February 22, 1994	Watson		
	1F	4,959,810	September 25, 1990	Darbee et al.		
	1G	4,488,179	December 11, 1984	Krüger et al.		
	1H	4,977,455	December 11, 1990	Young		
	1I	5,151,789	September 29, 1992	Young		
	1J	5,015,829	May 14, 1991	Eilert et al.		
	1K	5,202,817	April 13, 1993	Koenck et al.		
	1L	5,253,275	October 12, 1993	Yurt et al.		
FOREIGN PATENT DOCUMENTS						
		DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	Pages/Columns/Lines Where Relevant Passages/Figures Appear	Check if Translation attached
	1M	WO 93/22877	November 11, 1993	Hoarty et al.		<input type="checkbox"/>
	1N	WO 92/21206	November 26, 1992	Steele et al.		<input type="checkbox"/>
	1O	WO 91/00670	January 10, 1991	Hallenbeck		<input type="checkbox"/>
	1P	WO 91/03112	March 7, 1991	De Bey		<input type="checkbox"/>
	1Q	WO 92/11713	July 9, 1992	Gillette		<input type="checkbox"/>
OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)						
	1R	Moloney, Digital Compression in Todays..., June 6, 1993				
	1S	Bestler, Flexible Data Structures..., June 6, 1993				
	1T	Gelman et al., A Store-and-Forward..., June 21, 1991				
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	1A	5,345,594	September 6, 1994	Tsuda					
	1B	4,961,109	October 2, 1990	Tanaka					
	1C	4,829,558	May 9, 1989	Welsh					
	1D	5,355,162	October 11, 1994	Yazolino et al.					
	1E	5,182,639	January 26, 1993	Jutamulia et al.					
	1F	5,132,789	July 21, 1992	Ammon et al.					
	1G	4,876,736	October 24, 1989	Kiewit					
	1H	5,293,540	March 8, 1994	Trani et al.					
	1I	5,001,554	March 19, 1991	Johnson et al.					
	1J	5,410,326	April 25, 1995	Goldstein					
	1K	5,047,867	September 10, 1991	Strubbe et al.					
	1L	5,414,426	May 9, 1995	O'Donnell et al.					
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		DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	Pages/Columns/Lines Where Relevant Passages/Figures Appear	Check if Translation attached			
	1M	Taiw. Pub. 234223	November 11, 1994	Yan et al.		<input type="checkbox"/>			
	1N	Taiw. Pub 236065	December 11, 1994	Judin		<input type="checkbox"/>			
	1O	Taiw. Pub 235359	December 1, 1994	Keiichi et al.		<input type="checkbox"/>			
	1P	Taiw. Pub 235358	December 1, 1994	Glince		<input type="checkbox"/>			
	1Q	Taiw. Pub 236744	December 21, 1994	Franklin		<input type="checkbox"/>			
OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)									
	1R	Hewlett Packard Co., HP-41C Operating Manual, December, 1982							
	1S	Sorce, et al., Human Factors In Telecommunications, September 10-14, 1990.							
	1T	Herron, T.L., Teaching With The Internet, Vol. 1, No. 3 1998.							
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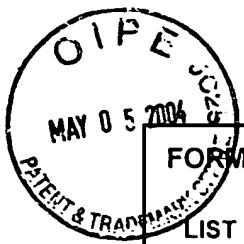


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	1A	5,237,311	August 17, 1993	Mailey et al.				
	1B	5,078,019	January 27, 1992	Aoki				
	1C	5,410,344	April 25, 1995	Graves et al.				
	1D	5,155,591	October 13, 1992	Wachob				
	1E	5,432,542	July 11, 1995	Thibadeau et al.				
	1F	5,036,537	July 30, 1991	Jeffers et al.				
	1G	5,323,240	June 21, 1994	Amano et al.				
	1H	5,367,571	November 22, 1994	Bowen et al.				
	1I	5,151,782	September 29, 1992	Ferraro				
	1J	5,206,954	April 27, 1993	Inoue et al.				
	1K	5,343,239	August 30, 1994	Lappington et al.				
	1L	5,327,554	July 5, 1994	Palazzi, III et al.				
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	1M	Taiw. Pub 238461	April 23, 1992	Manullan Jr.		<input type="checkbox"/>		
	1N	EP 167,237	January 8, 1986	Campbell		<input type="checkbox"/>		
	1O	EP 149,536	January 18, 1984	Baker		<input type="checkbox"/>		
	1P	EP 158,548	October 16, 1985	Waalboer		<input type="checkbox"/>		
	1Q	EP 145,063	June 19, 1985	Van Weezel		<input type="checkbox"/>		
OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)								
	1R	Azuma, J., Creating Educational Web Sites, March 1999.						
	1S	Goldberg, M.W., et al., World Wide Web Course Tool..., May 1996.						
	1T	Waite Group Press, An Interactive Lesson....., "Online! 1996.						
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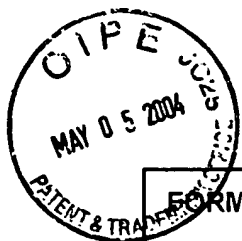


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	1A	4,724,491	February 9, 1988	Lambert		
	1B	5,375,068	December 20, 1994	Palmer et al.		
	1C	5,099,319	March 24, 1992	Esch et al.		
	1D	5,339,315	August 16, 1994	Maeda et al.		
	1E	4,697,209	September 29, 1987	Kiewit et al.		
	1F	5,481,294	January 2, 1996	Thomas et al.		
	1G	5,473,362	December 5, 1995	Fitzgerald et al.		
	1H	5,150,118	September 22, 1992	Finkle et al.		
	1I	5,341,474	August 23, 1994	Gelman et al.		
	1J	5,319,455	June 7, 1994	Hoarty et al.		
	1K	5,477,263	December 19, 1995	O'Callaghan et al.		
	1L	5,014,125	May 7, 1991	Pocock et al.		
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	1M	EP 103,438	March 21, 1984	Barnes et al.		<input type="checkbox"/>
	1N	IN 762/Cal/93	App. Filed December 7 1993			<input type="checkbox"/>
	1O	EP 399,200	November 28, 1990	Kauffman et al.		<input type="checkbox"/>
	1P	EP 450,841	October 9, 1991	Walker et al.		<input type="checkbox"/>
	1Q	GB 2 177 873A	January 28, 1987	Davidson		<input type="checkbox"/>
OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)						
	1R	Speech Technology, Electronic Dictionary . . . , April 1, 1989				
	1S	SIGCSE/SIGCUE Conf., Enhancing Teaching . . . , June 1-2, 1996				
	1T	Kubota, et al., Terrestrial Data . . . , August 1, 1997				
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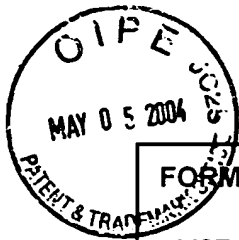


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	1A	5,515,098	May 7, 1996	Carles					
	1B	5,412,416	May 2, 1995	Nemirofsky					
	1C	5,400,401	March 21, 1995	Wasilewski et al.					
	1D	5,285,272	February 8, 1994	Bradley et al.					
	1E	5,446,919	August 29, 1995	Wilkins					
	1F	5,442,626	August 15, 1995	Wei					
	1G	5,481,542	January 2, 1996	Logston et al.					
	1H	5,481,296	January 2, 1996	Cragun et al.					
	1I	5,351,075	September 27, 1994	Herz et al.					
	1J	5,483,278	January 9, 1996	Strubbe et al.					
	1K	5,469,206	November 21, 1995	Strubbe et al.					
	1L	5,319,707	June 7, 1994	Wasilewski et al					
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	1M	JP 62-140134	June 23, 1987	Misaki		<input type="checkbox"/>			
	1N	EP 0 281 293	September 7, 1988	Ichise		<input type="checkbox"/>			
	1O	EP 0 513 553	November 19, 1992	Gerlach		<input type="checkbox"/>			
	1P	EP 0 570 785	November 24, 1993	Diehl		<input type="checkbox"/>			
	1Q	EP 0 328 440	August 8, 1989	Brisson		<input type="checkbox"/>			
OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)									
	1R	Funkschau, Fur Geschlossene . . . , August 25, 1989.							
	1S	Gelman, et al., An Architecture For Interactive Applications, May 23, 1993.							
	1T	Koenen, et al., MPEG-4: Context and Objectives," Signal Processing: Image Communication 9, 295-304 (1997).							
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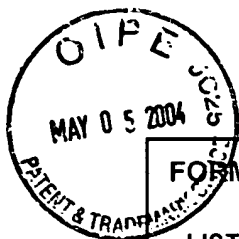


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	1A	5,424,770	June 13, 1995	Schmelzer et al.				
	1B	4,694,490	September 15, 1987	Harvey et al. (I)				
	1C	4,965,825	October 23, 1990	Harvey et al. (III)				
	1D	4,528,643	July 9, 1985	Freeny, Jr.				
	1E	5,237,610	August 17, 1993	Gammie et al.				
	1F	4,712,130	December 8, 1987	Casey				
	1G	5,282,028	January 25, 1994	Johnson et al.				
	1H	5,644,354	July 1, 1997	Thompson et al.				
	1I	5,099,319	March 24, 1992	Esch et al.				
	1J	5,570,126	October 29, 1996	Blahut et al.				
	1K	5,661,516	August 26, 1997	Carles				
	1L	5,724,091	March 3, 1998	Freeman et al.				
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	1M	JP 01-020454	January 30, 1989	Sei		<input type="checkbox"/>		
	1N	DE 4214184	November 12, 1992	Christeson et al.		<input type="checkbox"/>		
	1O	EP 0377334	July 11, 1990	Yu		<input type="checkbox"/>		
	1P	EP 0277014	August 3, 1988	Tagawa		<input type="checkbox"/>		
	1Q	EP 0314572	May 3, 1989	Freeman		<input type="checkbox"/>		
OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)								
	1R	Benson et al., Television Engineering Handbook Featuring HDTV Systems, © 1992, 1986.						
	1S	Pereira et al., EBU Technical Review "MPEG-4 – opening new frontiers to broadcast services", March 21, 1999.						
	1T	Media Daily, V2, No. 72, Prodigy Offers Total Television Online Guide, April 12, 1994.						
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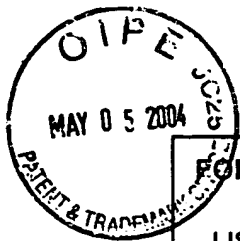
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	1A	5,343,516	August 30, 1994	Callele et al.		
	1B	4,805,014	February 14, 1989	Sahara, et al.		
	1C	4,381,522	April 26, 1983	Lambert		
	1D	5,500,794	March 19, 1996	Fujita et al.		
	1E	5,253,341	October 12, 1993	Rozmanith et al.		
	1F	5,073,930	December 17, 1991	Green et al.		
	1G	5,075,771	December 24, 1991	Hashimoto		
	1H	5,696,906	December 9, 1997	Peters et al.		
	1I	5,103,314	April 7, 1992	Keenan		
	1J	5,404,505	April 4, 1995	Levinson		
	1K	5,390,348	February 14, 1995	Magin et al.		
	1L	4,398,216	August 9, 1983	Field et al.		
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		DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	Pages/Columns/Lines Where Relevant Passages/Figures Appear	Check if Translation attached
	1M	WO 92/10040	June 11, 1992	Rovira et al.		<input type="checkbox"/>
	1N	EP 0299830	January 18, 1989	Freeman		<input type="checkbox"/>
	1O	EP 0340643	November 8, 1989	Richards		<input type="checkbox"/>
	1P	WO 88/01463	February 25, 1988	Seth-Smith et al.		<input type="checkbox"/>
	1Q	EP 0396186	October 7, 1990	Van de Meent		<input type="checkbox"/>
OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)						
	1R	Sheldon, Thomas, Windows 3 Made Easy (ISBN: 0-07881-5371, OsborneMcGraw-Hill), January 1989.				
	1S	Dan et al., Multimedia Systems, A Dynamic Policy of Segment Replication for Load-Balancing in Video-On-Demand Servers, July 1995.				
	1T	Ikezaki, et al., A New Display Pointing Method, IEEE, Transaction On Consumer Electronics, June 8, 1990.				
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PATENT APPLICATION

Sheet 10 of 26

FORM PTO 1449 LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)				ATTY. DOCKET NO. 3698.D7		APPLICATION NO. 09/964,891		CONFIRMATION NO. 2109	
				APPLICANT John S. HENDRICKS					
				FILING DATE 9/28/2001		GROUP 2611			
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	1A	4,633,462	December 30, 1986	Stifle et al.					
	1B	5,365,265	November 15, 1994	Shibata et al.					
	1C	5,396,546	March 7, 1995	Remillard					
	1D	5,461,667	October 24, 1995	Remillard					
	1E	5,349,638	September 20, 1994	Pitroda et al.					
	1F	5,440,632	August 8, 1995	Bacon et al.					
	1G	5,056,138	October 8, 1991	Tyson, Sr.					
	1H	4,621,282	November 4, 1986	Ahern					
	1I	5,293,633	March 8, 1994	Robbins					
	1J	5,038,402	August 6, 1991	Robbins					
	1K	5,579,522	November 26, 1996	Christeson et al.					
	1L	5,689,663	November 18, 1997	Williams					
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	1M	WO 80/00209	February 7, 1980	Block		<input type="checkbox"/>			
	1N	EP 0158767	October 23, 1985	Sturgis et al.		<input type="checkbox"/>			
	1O	WO 89/12370	December 14, 1989	Monslow et al.		<input type="checkbox"/>			
	1P	WO 96/25006	August 15, 1996	Gosney		<input type="checkbox"/>			
	1Q	WO 96/08109	March 14, 1996	Carles		<input type="checkbox"/>			
OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)									
	1R	Kruger, The Digital Television Identification System, <u>Journal ntz</u> , Vol. 35, 1982.							
	1S	Eitz, et al., The Next Stage, <u>Funkschau</u> , March 1988.							
	1T	Hedger, John, Broadcast Software: Experience with ORACLE, © 1980 by Online Conferences, Inc.							
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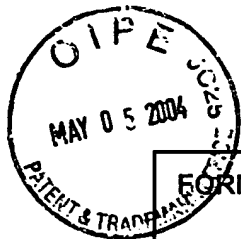
Sheet 11 of 26

FORM PTO 1449			ATTY. DOCKET NO.	APPLICATION NO.	CONFIRMATION NO.	
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)			3698.D7	09/964,891	2109	
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	1A	4,885,803	December 5, 1989	Richards		
	1B	4,695,880	September 22, 1987	Johnson et al.		
	1C	4,829,372	May 9, 1989	McCalley et al.		
	1D	5,235,419	August 10, 1993	Krause		
	1E	4,197,590	April 8, 1980	Sukonick et al.		
	1F	3,978,470	August 31, 1976	McGuire		
	1G	4,528,589	July 9, 1985	Block et al.		
	1H	4,920,432	April 24, 1990	Eggers et al.		
	1I	5,221,962	June 22, 1993	Backus et al.		
	1J	5,438,372	August 1, 1995	Tsumori et al.		
	1K	4,739,510	April 19, 1988	Jeffers et al.		
	1L	5,003,384	March 26, 1991	Durden et al.		
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	1M	WO 94/16527	July 21, 1994	Oberle		<input type="checkbox"/>
	1N	EP 0620689	October 19, 1994	Lambert		<input type="checkbox"/>
	1O	WO 97/22112	June 19, 1997	Huffman et al.		<input type="checkbox"/>
	1P	WO 98/18086	April 30, 1998	Reynolds et al.		<input type="checkbox"/>
	1Q	WO 98/08344	February 26, 1998	Sachs et al.		<input type="checkbox"/>
OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)						
	1R	SuperVGA Display Products User's Guide, 1 st Edition, © Nokia Data AB 1991				
	1S	Lieferschein Nr. 20139924, Product Description, October 28, 1991				
	1T	Applied Cryptography, Protocols, Algorithms, And Source Code in C, (pp. 34-44) Schneier, Bruce, Pub. 199 by John Wiley & Sons				
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PATENT APPLICATION

Sheet 12 of 26

FORM PTO 1449 LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)			ATTY. DOCKET NO. 3698.D7		APPLICATION NO. 09/964,891		CONFIRMATION NO. 2109	
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	1A	5,432,561	July 11, 1995	Strubbe				
	1B	5,339,239	August 16, 1994	Manabe et al.				
	1C	5,805,204	September 8, 1998	Thompson et al.				
	1D	4,985,697	January 15, 1991	Boulton				
	1E	5,737,725	April 7, 1998	Case				
	1F	5,799,157	August 25, 1998	Escallon				
	1G	5,917,915	June 29, 1999	Hirose				
	1H	5,890,122	March 30, 1999	Van Kleeck, et al.				
	1I	6,052,717	April 18, 2000	Reynolds et al.				
	1J	6,034,680	March 7, 2000	Kessenich, et al.				
	1K	5,446,490	August 29, 1995	Blahut et al.				
	1L	4,751,578	June 14, 1988	Reiter et al.				
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	1M	WO 98/02836	January 22, 1998	Carson		<input type="checkbox"/>		
	1N	EP 0838798	March 29, 1998	L'Allier et al.		<input type="checkbox"/>		
	1O	GB 2269302	February 2, 1994	Tanaka et al.		<input type="checkbox"/>		
	1P	JP 9-284571	October 31, 1997	Kiyoshi		<input type="checkbox"/>		
	1Q	JP 7-235909	September 5, 1995	Toshio		<input type="checkbox"/>		
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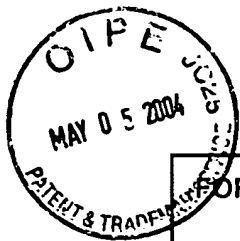
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				APPLICANT John S. HENDRICKS		
				FILING DATE 9/28/2001	GROUP 2611	
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	1A	3,860,873	January 14, 1975	Ringstad		
	1B	5,742,680	April 21, 1998	Wilson		
	1C	5,115,426	May 19, 1992	Spanke		
	1D	5,844,600	December 1, 1998	Kerr		
	1E	5,384,588	January 24, 1995	Martin et al.		
	1F	5,124,980	June 23, 1992	Maki		
	1G	4,533,948	April 30, 1982	McNamara et al.		
	1H	4,805,014	February 14, 1989	Sahara et al.		
	1I	5,815,671	September 29, 1998	Morrison		
	1J	6,029,045	February 22, 2000	Picco et al.		
	1K	5,774,170	June 30, 1998	Hite et al.		
	1L	4,930,160	May 29, 1990	Vogel		
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	1M	WO 97/20274	June 5, 1997	Mynyan		<input type="checkbox"/>
	1N	EP 0924687	June 23, 1999	Vergo et al.		<input type="checkbox"/>
	1O	EP 0892388	January 20, 1999	Juang et al.		<input type="checkbox"/>
	1P	WO 97/22110	June 19, 1997	Huffman et al.		<input type="checkbox"/>
	1Q	JP 6-134489	January 12, 1996	Tomohiro		<input type="checkbox"/>
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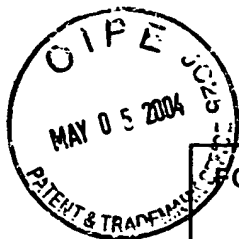


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	1A	5,389,964	February 14, 1995	Oberle et al.		
	1B	5,512,934	April 30, 1996	Kochanski		
	1C	4,674,085	June 16, 1987	Aranguren et al.		
	1D	4,816,904	March 28, 1989	McKenna et al.		
	1E	5,953,485	September 14, 1999	Abecassis		
	1F	6,166,778	December 26, 2000	Yamamoto et al.		
	1G	6,163,316	December 19, 2000	Killian		
	1H	6,204,885	March 20, 2001	Kwoh		
	1I	5,341,426	August 23, 1994	Barney et al.		
	1J	4,331,974	May 25, 1982	Cogswell et al.		
	1K	5,600,364	February 4, 1997	Hendricks et al.		
	1L	5,192,999	March 9, 1993	Graczyk et al.		
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	1M	WO 99/45491	September 10, 1999	Eberhard et al.		<input type="checkbox"/>
	1N	WO 94/07327	March 31, 1994	Marasovich, et al.		<input type="checkbox"/>
	1O	WO 99/18701	April 15, 1999	Henderson, et al.		<input type="checkbox"/>
	1P	WO 97/41688	November 6, 1997	D'Agostino		<input type="checkbox"/>
	1Q	JP 408051614	February 20, 1996	Inagaki		<input type="checkbox"/>
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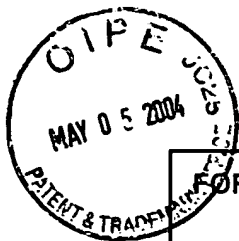


PATENT APPLICATION

Sheet 15 of 26

FORM PTO 1449 LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)				ATTY. DOCKET NO. 3698.D7	APPLICATION NO. 09/964,891	CONFIRMATION NO. 2109
				APPLICANT John S. HENDRICKS		
				FILING DATE 9/28/2001	GROUP 2611	
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	1A	5,247,575	September 21, 1993	Sprague et al.		
	1B	5,347,304	September 13, 1994	Moura et al.		
	1C	5,421,031	May 30, 1995	De Bey		
	1D	5,600,775	February 4, 1997	King, et al.		
	1E	5,929,849	July 27, 1999	Kikinis		
	1F	5,600,368	February 4, 1997	Matthews, III		
	1G	4,779,138	October 18, 1988	Nomura, et al.		
	1H	5,957,695	September 28, 1999	Redford, et al.		
	1I	5,986,690	November 16, 1999	Hendricks		
	1J	5,600,573	February 4, 1997	Hendricks, et al.		
	1K	5,528,281	June 18, 1996	Grady et al.		
	1L	4,835,607	May 30, 1989	Keith		
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	1M	JP 410285568	October 23, 1998	Shishino		<input type="checkbox"/>
	1N	JP 408228328	September 3, 1996	Fujioka		<input type="checkbox"/>
	1O	WO 96/17306	June 6, 1996	Laursen, et al.		<input type="checkbox"/>
	1P	EP 0702491	March 20, 1996	Belknap, et al.		<input type="checkbox"/>
	1Q	JP 4233886	June 25, 1991	Kauffman et al.		<input type="checkbox"/>
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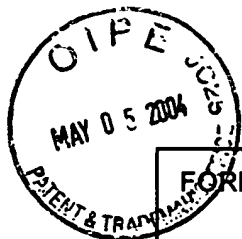
Sheet 16 of 26

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LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)				3698.D7	09/964,891	2109
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	1A	5,479,508	December 26, 1995	Bestler, et al.		
	1B	3,647,977	March 7, 1972	Closs		
	1C	5,508,733	April 16, 1996	Kassatly		
	1D	5,805,677	September 8, 1998	Ferry et al.		
	1E	5,640,196	June 17, 1997	Behrens et al.		
	1F	6,252,690	June 26, 2001	Laine		
	1G	5,497,187	March 5, 1996	Banker et al.		
	1H	4,558,464	December 10, 1985	O'Brien Jr.		
	1I	5,758,257	May 26, 1998	Herz et al.		
	1J	5,241,428	August 31, 1993	Goldwasser et al.		
	1K	6,154,633	November 28, 2000	Landgraf et al.		
	1L	5,539,449	July 23, 1996	Blahut et al.		
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	1M	WO 98/10997	January 15, 1998	Krebs		<input type="checkbox"/>
	1N	WO 96/41473	December 19, 1996	Ilcisin, et al.		<input type="checkbox"/>
	1O	WO 99/26415	May 27, 1999	Bar-EI		<input type="checkbox"/>
	1P	WO 99/66719	December 23, 1999	Zigmond, et al.		<input type="checkbox"/>
	1Q	EP 0821522	January 28, 1998	Sato, et al.		<input type="checkbox"/>
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MAY 06 2004

Technology Center 2600



PATENT APPLICATION

Sheet 17 of 26

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				APPLICANT John S. HENDRICKS		
				FILING DATE 9/28/2001	GROUP 2611	
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	1A	4,673,976	June 16, 1987	Wreford-Howard		
	1B	5,367,330	November 22, 1994	Haave, et al.		
	1C	4,802,008	January 31, 1989	Walling		
	1D	6,101,324	August 8, 2000	Connell, et al.		
	1E	5,206,929	April 27, 1993	Langford, et al.		
	1F	4,455,570	June 19, 1984	Saeki, et al.		
	1G	4,546,382	October 8, 1985	McKenna, et al.		
	1H	5,055,924	October 8, 1991	Skutta		
	1I	4,602,279	July 22, 1986	Freeman		
	1J	5,329,590	July 12, 1994	Pond		
	1K	5,426,699	June 20, 1995	Wunderlich et al.		
	1L	5,317,391	May 31, 1994	Banker et al.		
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	1M	EP 0734157	September 25, 1996	Oya, et al.		<input type="checkbox"/>
	1N	EP 0845904	June 3, 1998	Suzuki et al.		<input type="checkbox"/>
	1O	WO 99/52285	October 14, 1999	Hendricks, et al.		<input type="checkbox"/>
	1P	GB 2344009	May 24, 2000	Tuttlebee et al.		<input type="checkbox"/>
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Technology Center 2600



PATENT APPLICATION

Sheet 18 of 26

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	1A	5,675,390	October 7, 1997	Schindler et al.		
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	1C	5,729,471	March 17, 1998	Jain et al.		
	1D	5,537,141	July 16, 1996	Harper et al.		
	1E	5,703,965	December 30, 1997	Fu et al.		
	1F	5,838,368	November 17, 1998	Masunaga et al.		
	1G	5,793,872	August 11, 1998	Hirayama et al.		
	1H	5,833,468	November 10, 1998	Guy et al.		
	1I	5,467,402	November 14, 1995	Okuyama et al.		
	1J	5,818,511	October 6, 1998	Farry et al.		
	1K	4,677,685	June 30, 1987	Kurusu		
	1L	6,201,536	March 13, 2001	Hendricks et al.		
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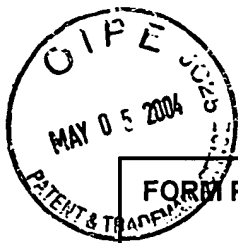
Sheet 19 of 26

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LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)				APPLICANT John S. HENDRICKS		
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	1A	5,550,863	August 27, 1996	Yurt et al.		
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	1C	4,887,308	December 12, 1989	Dutton		
	1D	4,513,315	April 23, 1985	Dekker et al.		
	1E	5,270,809	December 14, 1993	Gammie et al.		
	1F	5,115,309	May 19, 1992	Hang		
	1G	5,083,205	January 21, 1992	Arai		
	1H	5,442,452	August 15, 1995	Ryu		
	1I	5,386,241	January 31, 1995	Park		
	1J	5,208,660	May 4, 1993	Yoshida		
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	1P	GB 2255214A	October 28, 1992	Dufresne et al.		<input type="checkbox"/>
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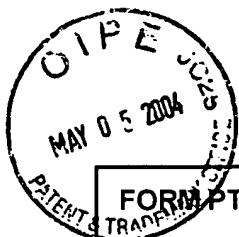
Technology Center 2600



PATENT APPLICATION

Sheet 20 of 26

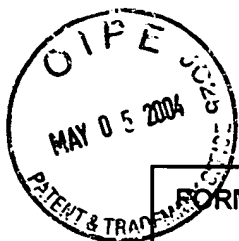
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				APPLICANT John S. HENDRICKS		
				FILING DATE 9/28/2001	GROUP 2611	
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EXAMINER INITIAL		DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear	
	1A	3,993,955	November 23, 1976	Belcher et al.		
	1B	3,946,158	March 23, 1976	Leclerq et al.		
	1C	5,715,315	February 3, 1998	Handelman		
	1D	5,448,313	September 5, 1995	Kim et al.		
	1E	5,255,086	October 19, 1993	McMullan, Jr. et al.		
	1F	5,621,456	April 15, 1997	Florin et al.		
	1G	5,412,720	May 2, 1995	Hoarty		
	1H	4,924,303	May 8, 1990	Brandon et al.		
	1I	4,974,252	November 27, 1990	Osborne		
	1J	5,134,712	July 28, 1992	Yamamoto		
	1K	4,451,701	May 29, 1984	Bendig		
	1L	4,691,340	September 1, 1987	Maeda, et al.		
FOREIGN PATENT DOCUMENTS						
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	1M	JP 09227193	August 8, 1997	Masateru		<input type="checkbox"/>
	1N	EP 0924629	June 23, 1999	Young		<input type="checkbox"/>
	1O	EP 0390041	October 3, 1990	Ikezaki		<input type="checkbox"/>
	1P	WO 95/15658	June 8, 1995	Hendricks et al.		<input type="checkbox"/>
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EXAMINER				DATE CONSIDERED		



PATENT APPLICATION

Sheet 21 of 26

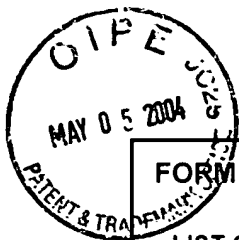
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			APPLICANT John S. HENDRICKS					
			FILING DATE 9/28/2001		GROUP 2611			
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	1A	5,307,481	April 26, 1994	Shimazaki, et al.				
	1B	4,896,354	January 23, 1990	Inagaki, et al.				
	1C	5,003,591	March 26, 1991	Kauffman, et al.				
	1D	5,917,537	June 29, 1999	Lightfoot, et al.	RECEIVED MAY 06 2004 Technology Center 2600			
	1E	6,119,154	September 12, 2000	Weaver, et al.				
	1F	5,819,301	October 6, 1998	Rowe, et al.				
	1G	5,727,065	March 10, 1998	Dillon				
	1H	5,844,890	December 1, 1998	Delp, et al.				
	1I	5,721,956	February 24, 1998	Martin, et al.				
	1J	5,588,139	December 24, 1996	Lanier, et al.				
	1K	5,588,104	December 24, 1996	Lanier, et al.				
	1L	5,737,533	April 7, 1998	de Hond				
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	1O	JP 3029456	February 7, 1991	Kiyoshi		<input type="checkbox"/>		
	1P	WO 01/01689	January 4, 2001	McCoy, et al.		<input type="checkbox"/>		
	1Q	WO 98/28906	July 2, 1998	Rosser		<input type="checkbox"/>		
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PATENT APPLICATION

Sheet 22 of 26

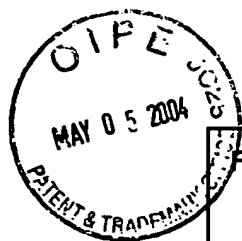
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				APPLICANT John S. HENDRICKS		
				FILING DATE 9/28/2001	GROUP 2611	
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	1A	5,745,710	April 28, 1998	Clanton, III, et al.		
	1B	5,774,122	June 30, 1998	Kojima, et al.		
	1C	5,260,788	November 9, 1993	Takano, et al.		
	1D	5,151,785	September 29, 1992	Citta		
	1E	5,335,313	August 2, 1994	Douglas		
	1F	4,484,218	November 20, 1984	Boland, et al.		
	1G	5,054,071	October 1, 1991	Bacon		
	1H	3,794,923	February 26, 1974	Ellis		
	1I	4,509,198	April 2, 1985	Nagatomi		
	1J	5,754,938	May 19, 1998	Herz, et al.		
	1K	01/0013123	August 9, 2001	Freeman et al.		
	1L	5,848,396	December 8, 1998	Gerace		
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	1M	EP 0 488 379	June 3, 1992	Takano, et al.		<input type="checkbox"/>
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EXAMINER				DATE CONSIDERED		



PATENT APPLICATION

Sheet 23of 26

FORM PTO 1449 LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)			ATTY. DOCKET NO. 3698.D7		APPLICATION NO. 09/964,891		CONFIRMATION NO. 2109	
			APPLICANT John S. HENDRICKS					
			FILING DATE 9/28/2001		GROUP 2611			
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	1A	5,517,502	May 14, 1996	Bestler, et al.				
	1B	5,745,556	April 28, 1998	Ronen				
	1C	5,809,204	September 15, 1998	Young, et al.				
	1D	5,880,769	March 9, 1999	Nemirofsky, et al.				
	1E	5,600,364	February 4, 1997	Hendricks, et al.				
	1F	4,985,761	January 15, 1991	Adams				
	1G	4,695,879	September 22, 1987	Weinblatt				
	1H	5,029,232	July 2, 1991	Nall				
	1I	5,251,324	October 5, 1993	McMullan, Jr.				
	1J	5,659,350	August 19, 1997	Hendricks, et al.				
	1K	6,539,548	March 25, 2003	Hendricks, et al.				
	1L	5,818,441	October 6, 1998	Throckmorton, et al.				
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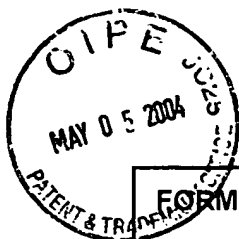


FORM PTO 1449 LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)				ATTY. DOCKET NO. 3698.D7	APPLICATION NO. 09/964,891	CONFIRMATION NO. 2109
				APPLICANT John S. HENDRICKS		
				FILING DATE 9/28/2001	GROUP 2611	
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	1A	01/0027493	October 4, 2001	Wallace		
	1B	6,138,147	October 24, 2000	Weaver, et al.		
	1C	5,835,087	November 10, 1998	Herz, et al.		
	1D	6,486,892	November 26, 2002	Stern		
	1E	6,177,931	January 23, 2001	Alexander, et al.		
	1F	5,630,103	May 13, 1997	Smith, et al.		
	1G	5,787,171	July 28, 1998	Kubota, et al.		
	1H	4,747,785	May 31, 1988	Roberts, et al.		
	1I	5,195,022	March 16, 1993	Hoppal, et al.		
	1J	5,231,494	July 27, 1993	Wachob		
	1K	5,311,425	August 8, 1989	Inada		
	1L	6,288,753	September 11, 2001	DeNicola, et al.		
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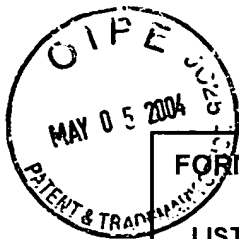
Technology Center 2600



PATENT APPLICATION

Sheet 25 of 26

FORM PTO 1449				ATTY. DOCKET NO.	APPLICATION NO.	CONFIRMATION NO.
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)				3698.D7	09/964,891	2109
				APPLICANT John S. HENDRICKS		
				FILING DATE 9/28/2001	GROUP 2611	
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	1A	6,099,320	August 8, 2000	Papadopoulos		
	1B	4,775,935	October 4, 1988	Yourick		
	1C	5,483,277	January 9, 1996	Granger		
	1D	4,581,484	April 8, 1986	Bendig		
	1E	4,225,884	September 30, 1980	Block, et al.		
	1F	5,490,247	February 6, 1996	Tung, et al.		
	1G	5,493,568	February 20, 1996	Sampat, et al.		
	1H	5,621,793	April 15, 1997	Bednarek, et al.		
	1I	6,182,050	January 30, 2001	Ballard		
	1J	5,235,328	August 10, 1993	Kurita		
	1K	4,825,220	April 25, 1989	Evans, et al.		
	1L	6,463,585	October 8, 2002	Hendricks, et al.		
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EXAMINER				DATE CONSIDERED		



FORM PTO 1449

ATTY. DOCKET NO.

3698.D7

APPLICATION NO.

09/964,891

CONFIRMATION NO.

2109

LIST OF PATENTS AND PUBLICATIONS
FOR APPLICANT'S INFORMATION
DISCLOSURE STATEMENT

(Use several sheets if necessary)

APPLICANT

John S. HENDRICKS

FILING DATE

9/28/2001

GROUP

2611

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
	1A	02/0087980	July 4, 2002	Eldering, et al.	
	1B	5,929,932	July 27, 1999	Otsuki et al.	
	1C	5,307,173	April 26, 1994	Yuen et al.	
	1D	6,016,141	January 18, 2000	Knudson et al.	
	1E	4,646,150	February 24, 1987	Robbins, et al.	
	1F	4,907,082	March 6, 1990	Richards	
	1G	5,594,509	January 14, 1997	Florin, et al.	
	1H	4,935,924	June 19, 1990	Baxter	
	1I	4,658,290	April 14, 1987	McKenna, et al.	
	1J	4,888,638	December 19, 1989	Bohn	
	1K	5,488,409	January 30, 1996	Yuen, et al.	
	1L	5,408,258	April 18, 1995	Kolessar	

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DATE CONSIDERED